



IFW

PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/811,331
Filing Date	March 25, 2004
First Named Inventor	Lam, Kit S.
Art Unit	1639
Examiner Name	
Attorney Docket Number	02307W-131510US

Total Number of Pages in This Submission

5

ENCLOSURES (Check all that apply)

- | | | |
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| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
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<input type="checkbox"/> Affidavits/declaration(s)
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<input type="checkbox"/> Licensing-related Papers
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<input checked="" type="checkbox"/> Power of Attorney to Prosecute Applications Before the USPTO
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<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)
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<input type="checkbox"/> Proprietary Information
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Statement under 37 CFR 3.73(b)
Copy of Assignment (-Not for Recordation-) |
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 37,369
Signature	<i>William B. Kezer</i>	
Date	11.9.04	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name
Jennifer K. Hardin

Signature

Jennifer K. Hardin

Date

11-9-04



PTO/SB/80 (12-03)

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number

20350

OR

☐ Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

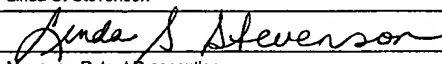
Assignee Name and Address:

The Regents of the University of California
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Linda S. Stevenson		
Signature		Date	August 23, 2004
Title	Manager, Patent Prosecution	Telephone	510-587-6000



COPY

TTC Attorney Docket No. 02307W-131510US

ASSIGNMENT

U.C. Case No. 2003-085-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|-------------------------------|------------------------|
| 1. <u>Kit S. Lam</u> | 2. <u>Aimin Song</u> |
| 3. <u>Carlito B. Lebrilla</u> | 4. <u>Jinhua Zhang</u> |
| 5. _____ | |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

and which is found in

- | | |
|--------------------|----------------------------------------------------------------------------------------------|
| (a) _____ | U.S. provisional application filed herewith and listing the above-named persons as inventors |
| (b) _____ | U.S. patent application filed herewith and listing the above-named persons as inventors |
| (c) <u>X</u> _____ | U.S. application serial no. <u>10/811,331</u> , filed on <u>March 25, 2004</u> |
| (d) _____ | U.S. Patent No.: _____, issued _____ |

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

NOT FOR RECORDATION

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1. 14 day of July, 2004

14th day of July, 2004

14th day of July, 2004

14th day of July, 2004

____ day of _____, 2004

Signature of Inventor(s)

Kit S. Lam

Almin Song

Carlito B. Lebrilla

Jinhua Zhang

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